



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DONALD S. GARDNER, ET AL.

Application No.: 10/749,986

Filed: December 31, 2003

For: MICRORING AND MICRODISK

RESONATORS FOR LASERS

FABRICATED ON SILICON WAFERS

Art Group: 2883

Examiner: Dupuis, Derek L.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed are the Information Disclosure Statement by Applicant (form PTO/SB/08 or PTO-1449) and copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed), which are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 42P18458

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 23, 2006

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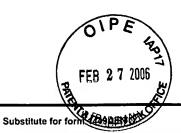
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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Par Sulliva-

Pat Sullivan

Date



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	1	of	1

Complete if Known			
Application Number	10/749,986		
Filing Date	December 31, 2003		
First Named Inventor	Donald S. Gardner		
Art Unit	2883		
Examiner Name	Dupuis, Derek L.		
Attorney Docket Number	42P18458		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		ARMANI, D.K., et al., "Ultra-High-Q Toroid Microcavity on a Chip," Letters to Nature, Vol. 421, 2/27/2003, Nature Publishing Group, pages 925-928		
		YANG, L., et al., "Fiber-Coupled Erbium Microlasers on a Chip," Applied Physics Letters, Vol. 83, Number 5, August 4, 2003, pages 825-826		
	-			
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Examiner	Date
Signature	Considered

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.